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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Tilmann HAUG et al.

Appln. No.: 10/575,750

Filed: April 13, 2006

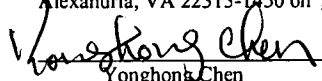
For: **TURBOENGINE AND METHOD FOR ADJUSTING THE STATOR AND ROTOR  
OF A TURBOENGINE**

Attorney Docket No.: 3926.258

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 13, 2006.

 , Reg. No. 56,150  
Yonghong Chen

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98**

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of any non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report and a German Office action issued in the corresponding applications are provided herewith.

1. US 5,785,493
2. EP 0 416 954 A1 (in English)
3. US 5,658,125
4. US 2,939,626
5. DE 196 53 217 A1 (its English equivalent application is US 5,961,281)
6. US 5,185,217
7. KAWECKI: "Thermal response turbine shroud study," Technical Report AFAPL-TR-79-2087, 1999, pages 8-12, Pratt & Whitney Aircraft Group, Florida (in English)
8. WO 99/28598 A1 (with English abstract)

Documents 1-4 were cited in the International Search Report. Documents 5-8 were cited in the German Office action.

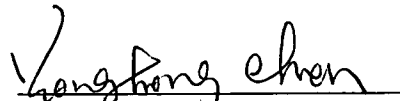
Since the present Information Disclosure Statement is being filed before the mailing date of the first office Action, no certificate under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner

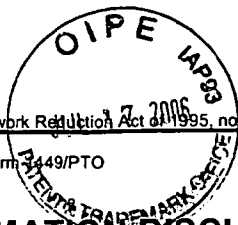
and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Respectfully submitted,

  
Yonghong Chen

**Date: July 13, 2006**

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**Complete if Known**

**(Use as many sheets as necessary)**

Sheet 1 of 1

Application Number	10/575,750
Filing Date	April 13, 2006
First Named Inventor	Tilmann HAUG et al.
Art Unit	3745
Examiner Name	Aaron R. Eastman
Attorney Docket Number	3926.258

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						T <sup>6</sup>
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
/A.R.E./		EP 0 416 954 A1	03-13-1991	TOYOTA JIDOSHA KABUSHIKI KAISHA		
/A.R.E./		DE196 53 217 A1	06-26-1996	Beetz und Kollegen		
/A.R.E./		WO 99/28598 A1	06-10-1999	SIEMENS AG		

Examiner Signature	/Aaron B. Eastman/	Date Considered	02/02/2010
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/575,750
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First Named Inventor	Tilman HAUG et al.
Art Unit	3745
Examiner Name	Aaron R. Eastman
Attorney Docket Number	3926.258

Sheet	1	of	1
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/A.R.E./		KAWECKI: "Thermal response turbine shroud study," Technical Report AFAPL-TR-79-2087, 1999, pages 8-12, Pratt & Whitney Aircraft Group, Florida	

Examiner Signature	/Aaron R. Eastman/	Date Considered	02/02/2010
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